# Transition, lock-ins, enablers - A primer

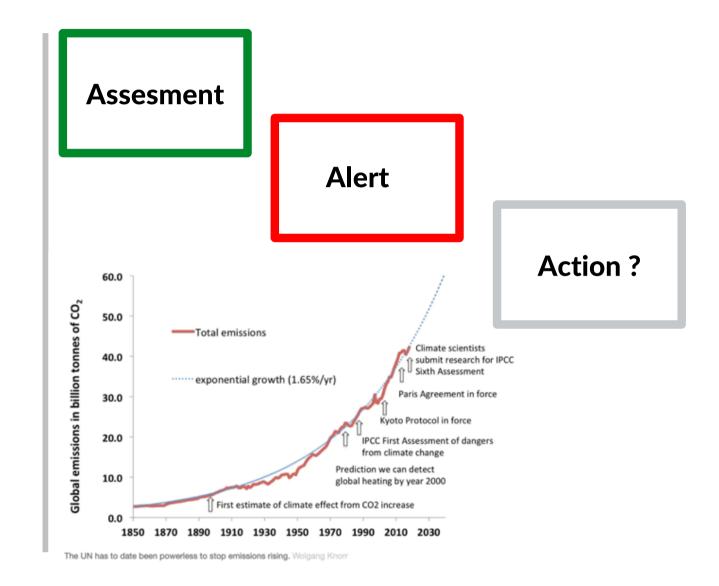


**Philippe Baret** 

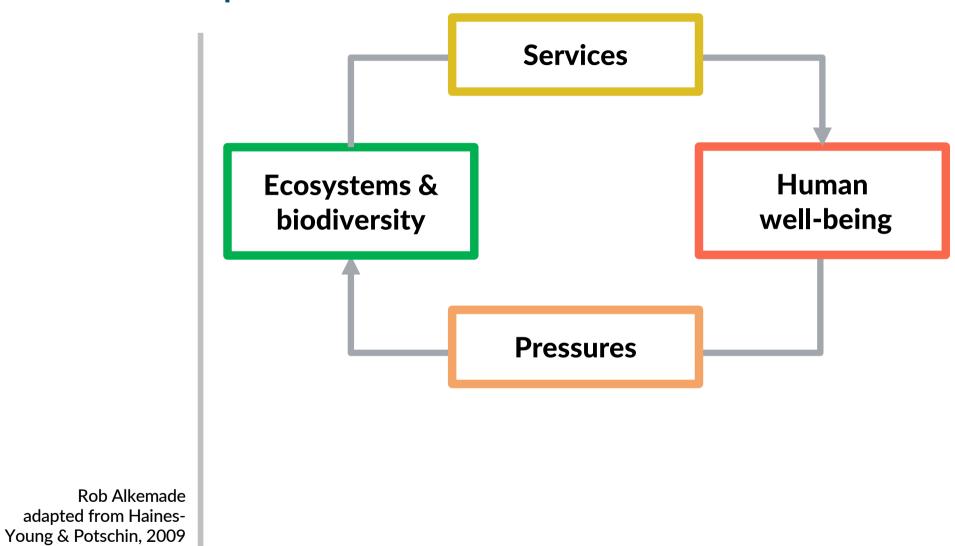




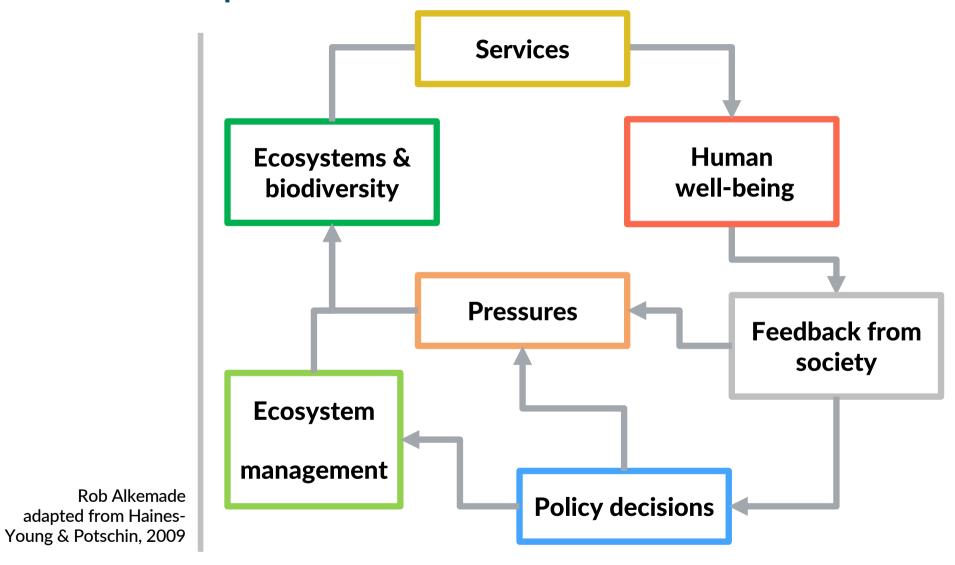
# A major challenge, a limited set of actions



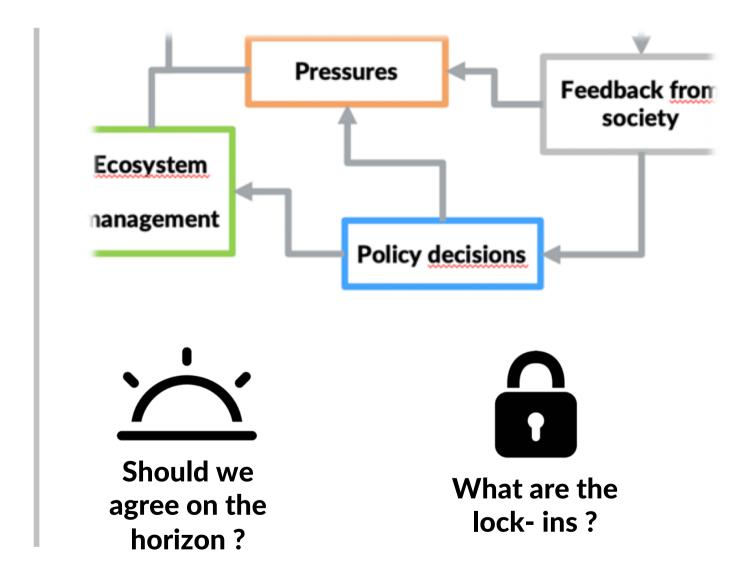
# A well known process



# A well known process

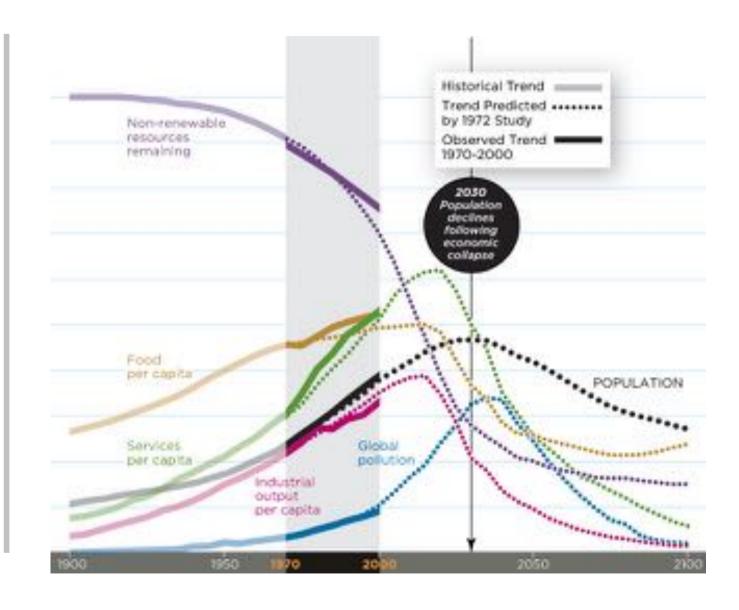


# A way forward?



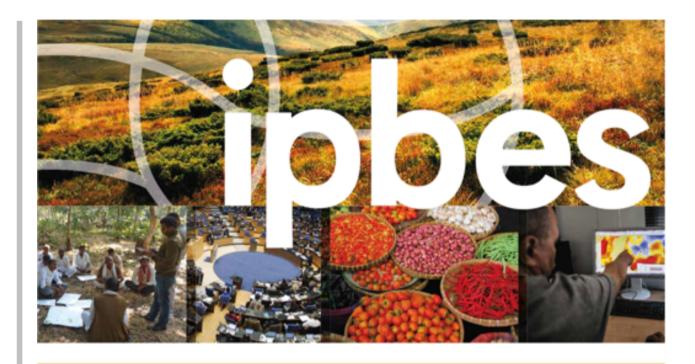


#### One future ...



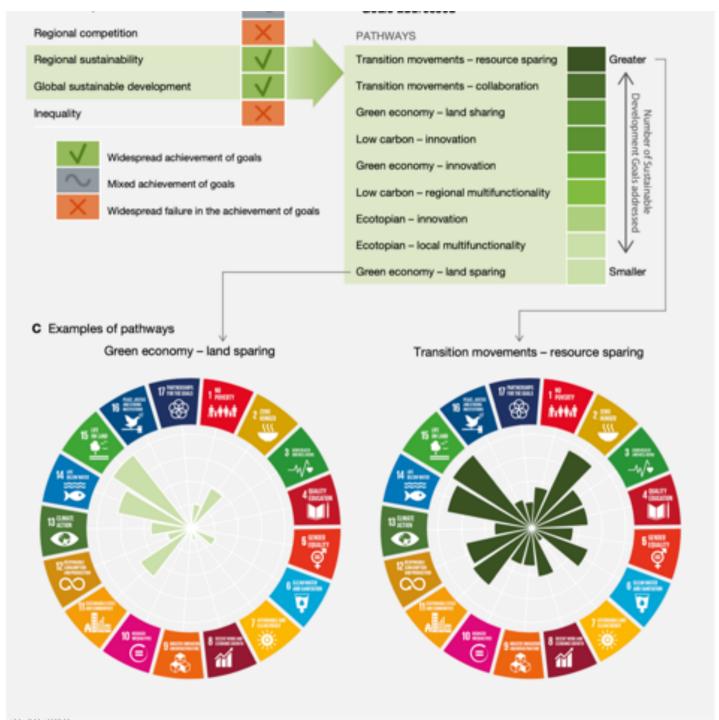


#### More futures ...





The methodological assessment report on SCENARIOS AND MODELS OF BIODIVERSITY AND ECOSYSTEM SERVICES



IPBES report for Europe and Central Asia, 2018



**IDDRI** 

ABOUT IDDRI

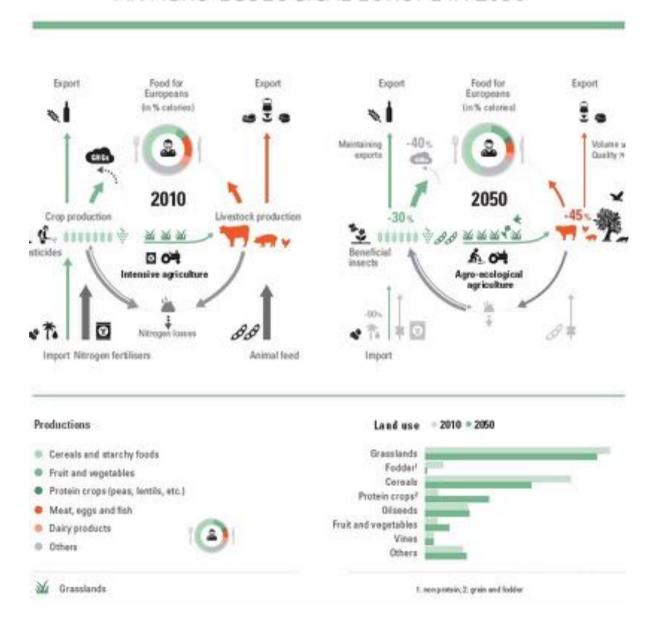
# 2018 | Agro-ecological scenario for Europe

IDDRI is developing a 100% agro-ecological scenario for Europe



#### **TYFA**

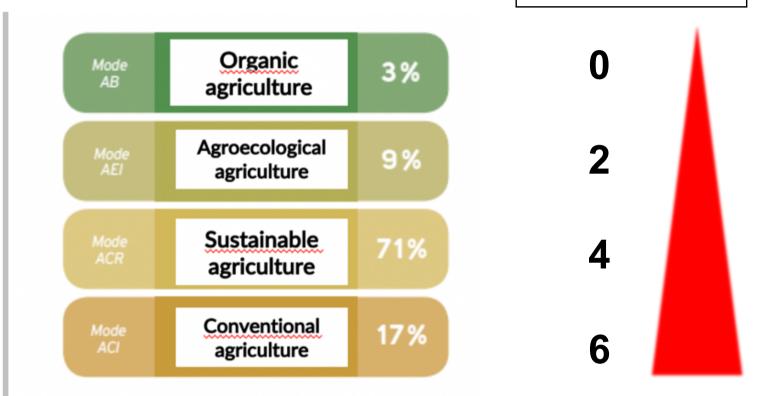
#### TYFA: A SCENARIO FOR AN AGRO-ECOLOGICAL EUROPE IN 2050



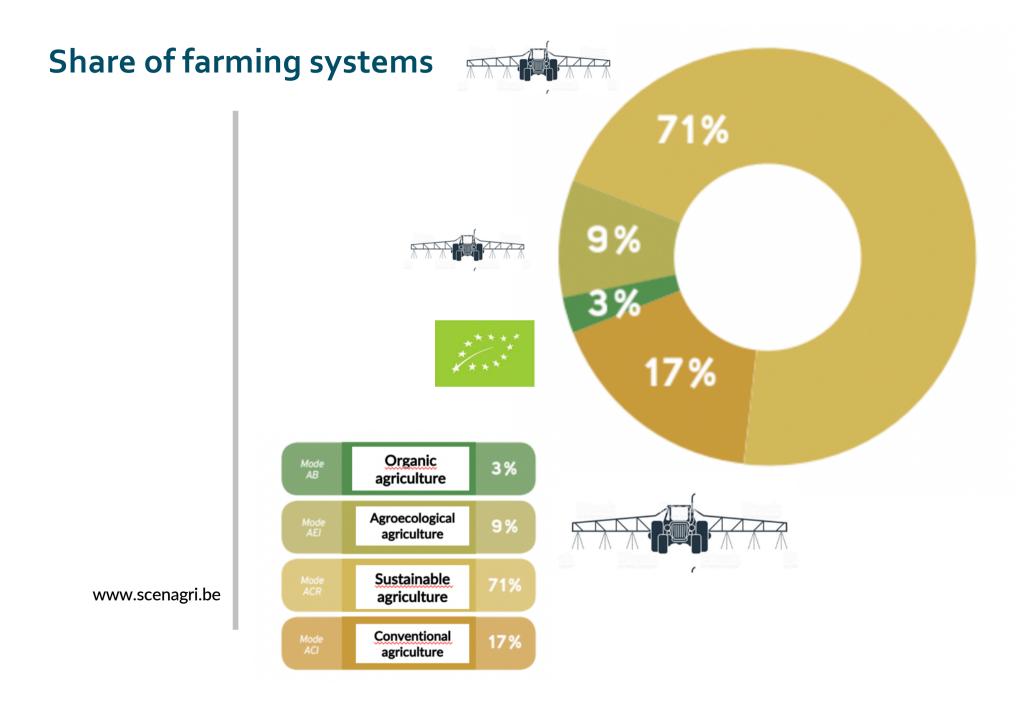


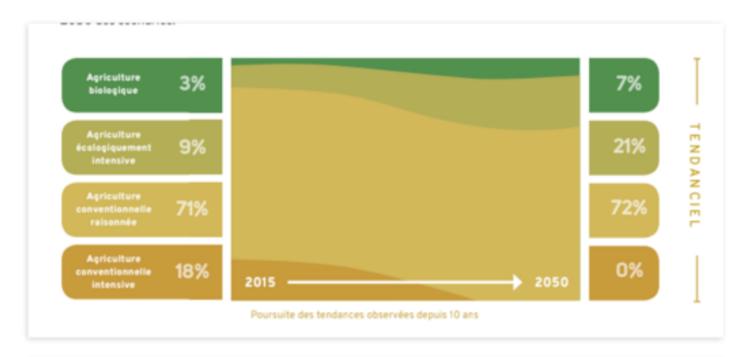
## A typology of systems

Use of synthetic pesticides



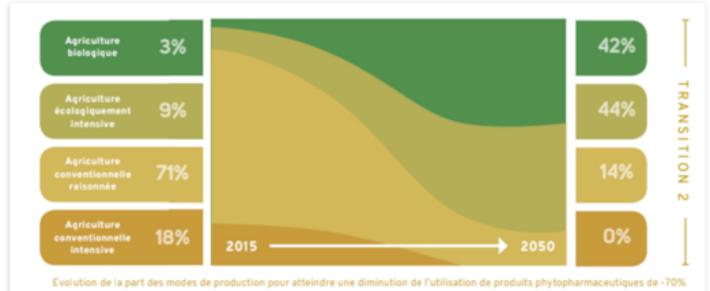
All Walloon farmers are on the picture The diversity of practices is acknowledged





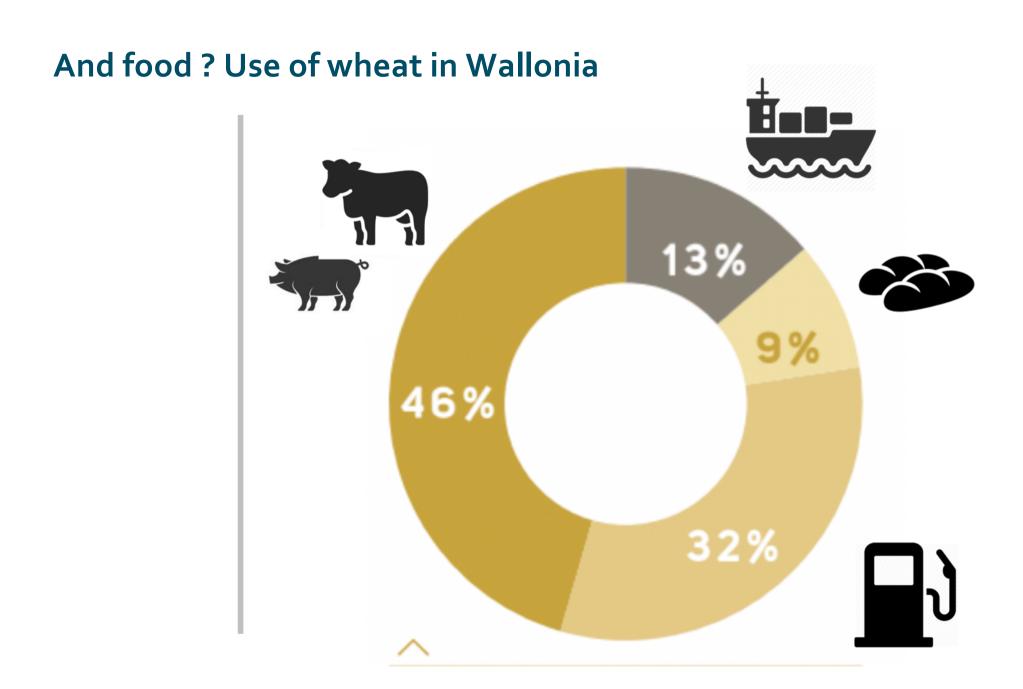
#### **BUSINESS AS USUAL**

- 18 % pesticide use



#### **TRANSITION**

- 67 % pesticide use



### Greenpeace's study

#### GHG emissions (kt CO2e)

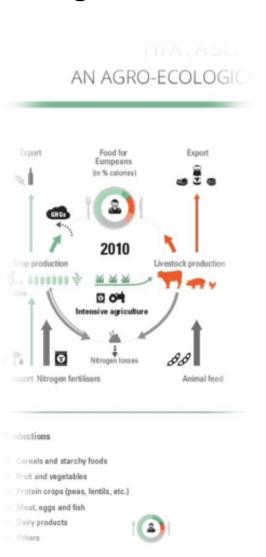
	Total	For nat. consump.	For export	
Ą	4.705	1.803	2.902	
11 11	766	485	281	
Ą	587	539	48	
7	4.658	3.450	1.208	
<del></del>	3.134	1.984	1.150	
	13.850	8.260	5.590	
		60%	40%	
			<u> </u>	

About 40% of the livestock sector's GHG emissions can be attributed to livestock products which are exported.

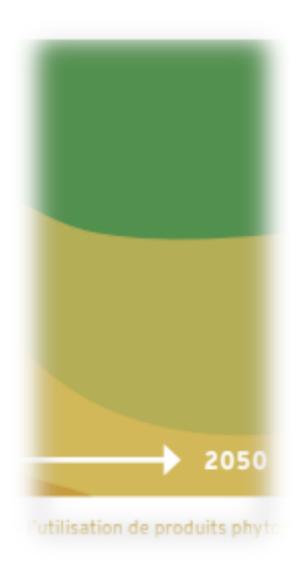
# WORLD Economy

## Historical Tr Trend Pred by 1972 Stud Observed T 1970-2000 2030 Population declines following economic collapse Global pollution-Industrial output per capita

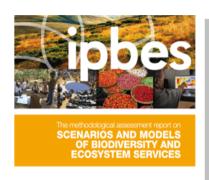
# **EUROPE Agriculture**



# WALLONIA Cereal crops



#### A matter of scale







WORLD Economy

**EUROPE**Agriculture

WALLONIA

Cereal crops



Impact on

World level decision makers



7.7 billions

1 billion

**European Common Policy** 

513 millions

İ

10 millions

Regional policy Farmers



3.6 millions



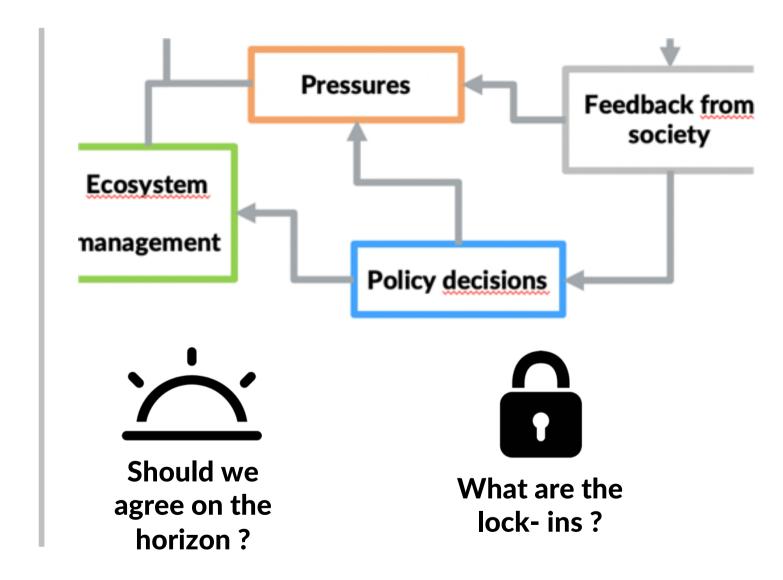
12.649

#### A matter of scale



The challenge is to articulate scales and make them cross-fostering

# A way forward?



# More than one culprit ...





What are the lock- ins?

### Sprayed to death

# THE ECONOMIC JOURNAL

MAY 1996

The Economic Journal, 106 (May), 521-542. © Royal Economic Society 1996. Published by Blackwell Publishers, 108 Cowley Road, Oxford OX4 1JF, UK and 238 Main Street, Cambridge, MA 02142, USA.

#### SPRAYED TO DEATH: PATH DEPENDENCE, LOCK-IN AND PEST CONTROL STRATEGIES\*

Robin Cowan and Philip Gunby

The existence of path dependence in the economy raises the possibility that economic processes may be subject to considerable inertia. Features common in creating path dependence – the existence of increasing returns, self-reinforcement, and uncertainty about the merits of different actions – exist in the case of agricultural pest control. We can see that early choices tend to be reinforced, and that it becomes difficult to dislodge a technology, sometimes even when there is a crisis. Furthermore, as is common in path dependent phenomena, to

Cowan & Gunby, 1996



#### Lock-ins are multifactorial

Focus on biotech Focus on competitivity **Relation to complexity Public-private Emphasis on Choice of impact** partnerships intellectual property factors **Scenarios for the future** Specialisation vs. **Public-private share of** interdisciplinarity benetifs of farming Imbalance due to Lack of knowledge on **Publish or perish** lobbies past systems **Definition of** Mainstream paradigm **Emphasis on spin offs ...** in media innovation

Vanloqueren & Baret,

Research Policy, 2009

# Lock-in are creating imbalance between innovation paradigms

- 1. Genetic engineering is not a "wrong" pathway of innovation.
- 2. But "de facto" it impedes the development of alternative solutions based on agroecological engineering.
- 3. The process of innovation is not "problem driven" but it mainly driven by competition between paradigms



# Factors impeding change towards more sustainable systems

Organisation of business, economic power, ...

Technical

Cultural

Cultural

Cultural

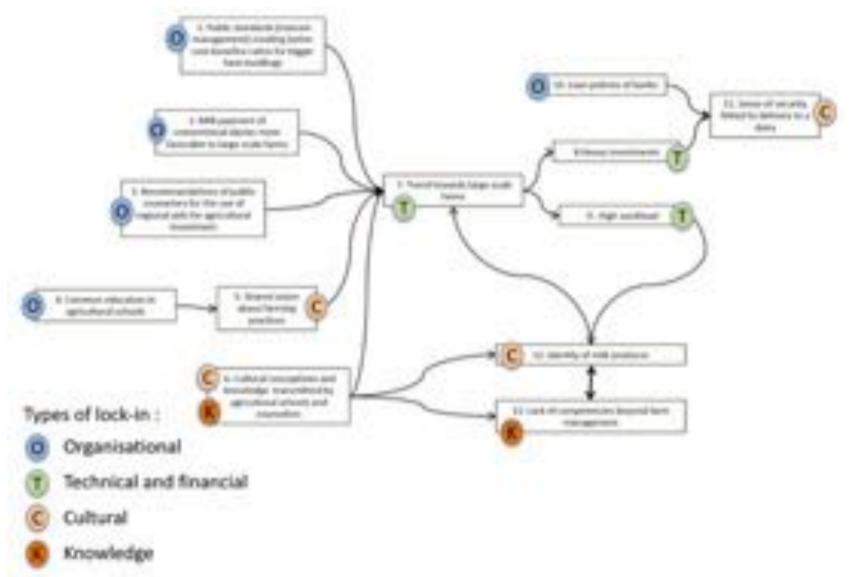
Cultural

Cultural

Cultural

Lack of knowledge, extension services, education, ...

#### These factors are in interaction



De Herde et al, Sustainability, 2019

### **Diversification's lock-ins**



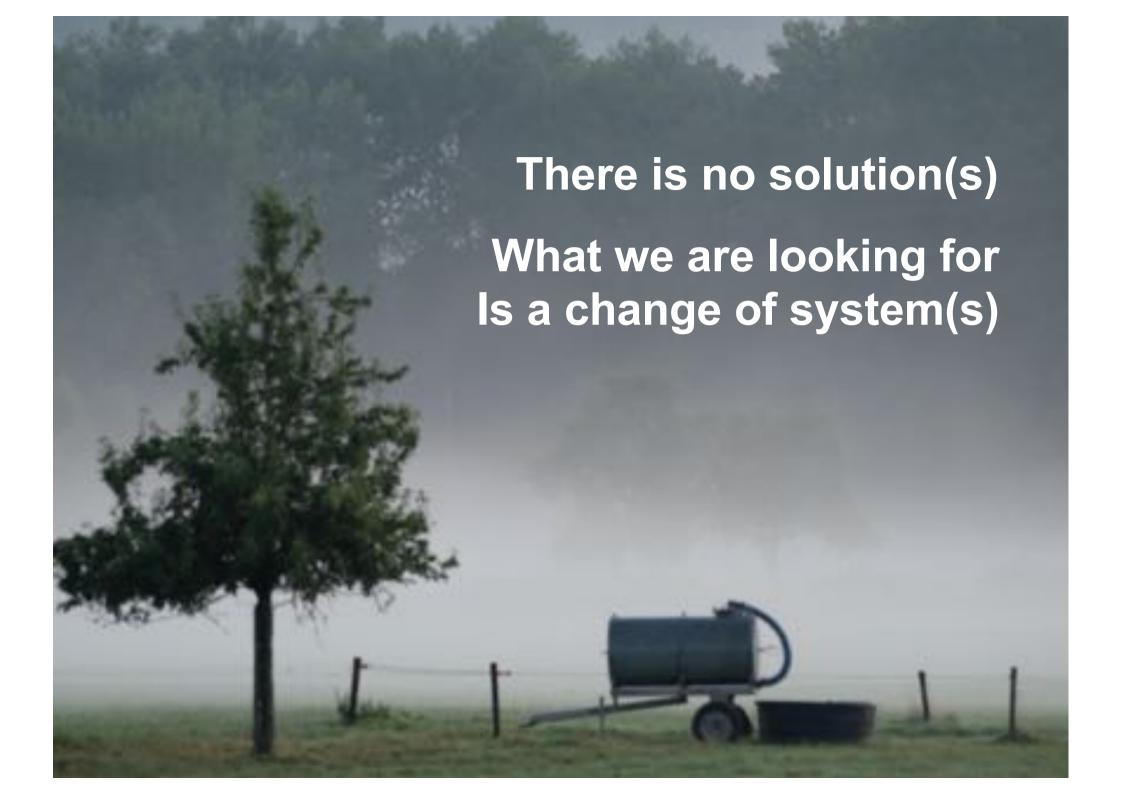
Morel et al, under review

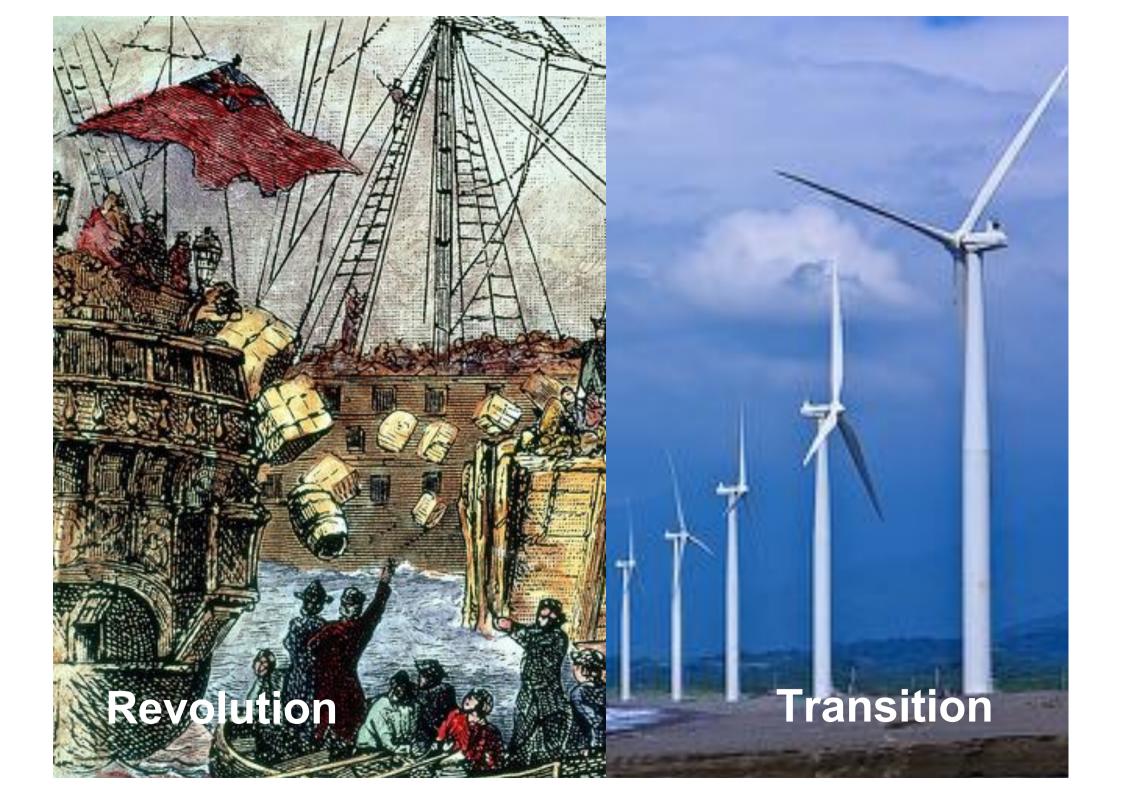
	Barriers to crop diversification	Code	Nb	w	0	Н
	Lack of technical knowledge and references	K_Tec	21			
	Lack of economic knowledge and references	K_Eco	16		0	
.5	Need of investment for adapted machinery	Machin Invest	13	0		0
oducti	Lack of technical knowledge and references about impacts on sustainability	K_Sustain	12		0	L
	Profitability is low, problematic or uncertain	Profit	11	0		
直	Uncertainties, risks and variability of agronomic performances	Uncert_Perf	10			
Agricultural production	Lack of technical knowledge about the impact on farming system and design	K_Syst	9			
	Lack of information because of problems with advisory context	Advice	9			
	Current situation is still profitable on the short term	Current	9			
	Constraints in labour organisation (period, volume), mental or physical load	Work	9		0	
	Barriers related to CAP*, environmental or sanitary regulations	Reg	9			
	Lack of adapted plant varieties in the local context	Varieties	8			
	Need of innovation in machinery for field activities	Machin Innov	8		0	
Low agronomic performances (yield, quality)		Perf	8	П		
Increased complexity for management and decision-making		Complex	8		_	
	Cultural barriers, confrontation with farming practices of parent's generation	Trad	7			
i	Cognitive frame and ways of thinking need to be changed	Cogni	6		п	
i	Seeds are hard or expensive to get	Seeds	5		ш	
	Farmers' lack of awareness about issues linked to specialisation	Awar Farm	5		0	
i	Lack of available or adapted phytosanitary solutions	Phyto	3		0	
tion between value Market From harvest to retail hain actors	Volumes are too limited in a given area to be profitably or easily collected	Coll Vol	16		0	
	Equipment for screening, cleaning, drying or storing requires investment	Pre ProInvest	11			0
	Equipment for processing requires investment	Process Invest	11			Ì
	Competition on the global market with crops produced cheaper elsewhere (for processors or retailers)	Compet	9	П		0
	Equipment for screening requires investment	Screen Invest	8		-	0
	Equipment for processing requires investment  Equipment for processing requires innovation	Process Innov	5			۰
	Regulations issues around sanitary, quality and purity aspects	Qualsan	5		-	
	Equipment for cleaning, drying or storing requires innovation	Pre ProInnov	4	_	-	0
	Administrative, fiscal or accounting issues	Admin	4		7	Ů
	Equipment for screening requires innovation	Screen Innov	3		-	
	Traders are reluctant to support solutions which may reduce inputs that they sell	Input	3			
	Dealing with diversification products brings higher costs	Cost	3	-		
	Need to raise consumer's awareness or bad visibility of diversification benefits	Awar Comm	17	0	-	
	Uncertain or unstable market	Uncert Mark	14	٠,	-	
	No pre-existing or very limited market	Exist Mark	13		-	
	Doubts about willingness of consumers to pay more for diversification products	Willing	9			
	No ensured and/or fair sharing of added value between actors	Price	_	-	-	٥
	<u> </u>		17		-	0
	No ensured or limited volumes to buy/sell products or establish secure contracts  Duration of contracts not enough to secure farmers in taking risks and investing	Quant Dura	12			U
	Limited or no cooperation between innovative farmers	Orga	8	-	0	
	Individualistic mentality and lack of trust between farmers limit collective action	Indiv	7			
	Unbalanced power in bargaining between farmers and traders	Power	_/		0	
	Finding suitable contracts to address issues related to variability in production (flexibility, sharing risks and reducing control costs)	Variab	7			
Ē	Lack of communication between value chain actors	Comm	6			
8	No ensured quality of products to be bought, sold or to establish secure contracts	Qual	4			
			_	- 1		

# Why actors are not changing their practices

- 1. Actors are part of complex social systems
- 2. Farmers have a limited agency
- 3. Change may be expensive and complex
- 4. Messages are contradicting each other







# The transition theory framework

SOCIO-TECHNICAL LANDSCAPE

**Global trends** media, youth4climate, activists

SOCIO-TECHNICAL REGIME

**Present dominant systems** mainstream agri-food systems



INNOVATION NICHE

Alternative propositions organic farming, agroecology,...

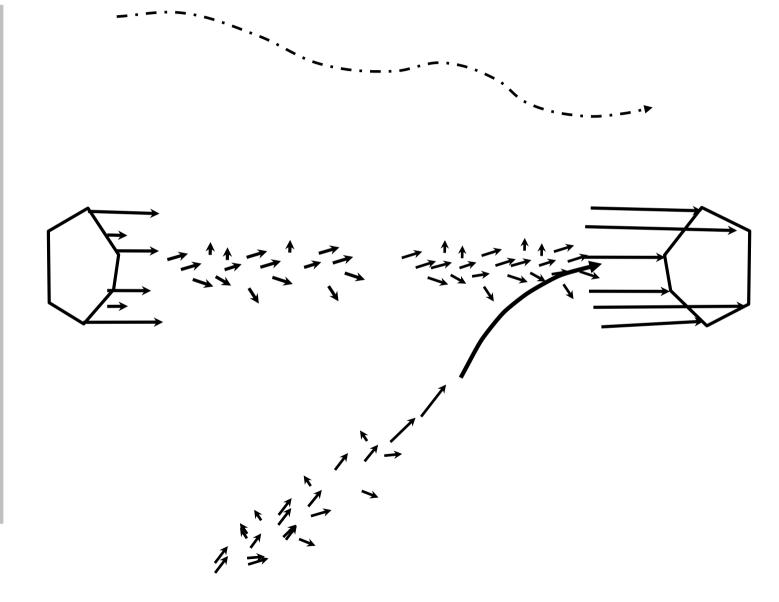
# The transition framework

**LANDSCAPE** 

SOCIO-TECHNICAL REGIME

INNOVATION NICHE

Adapted from Geels & Schott 2007



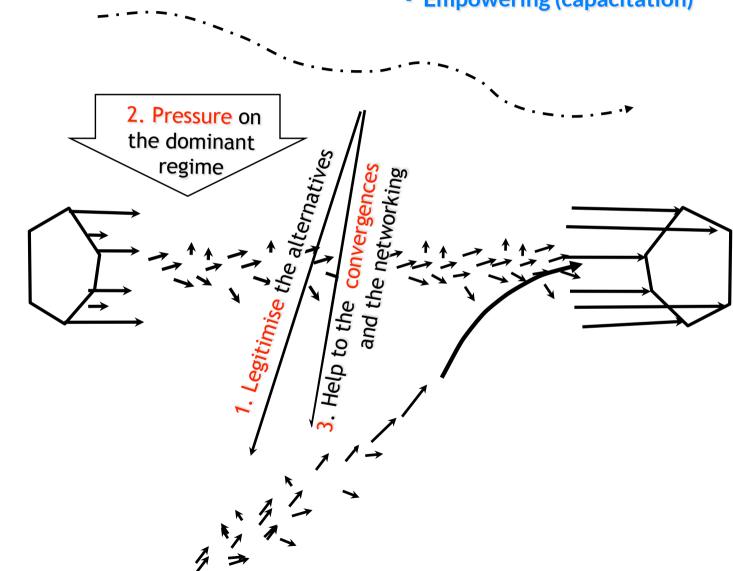
#### Mode of action

- Protecting alternatives
- Learning
- Networking
- Empowering (capacitation)

**LANDSCAPE** 

SOCIO-TECHNICAL REGIME

INNOVATION NICHE



# Having an impact

- 1. Climate, biodiversity are key challenges for our societies
- 2. Societies are made of actors in interaction
- A participative debate on options and scenarios is a major political issue
- 4. Scenarios should be articulated at different scales
- 5. Lock-ins are also a matter of science
- 6. Transition theory provides a framework for fostering change



# Thanks to

Clémentine Antier Anton Riera Gaëtan Vanloqueren Timothée Petel Véronique De Herde

Wallon Region FNRS H2020 SOS Faim Greenpeace

www.philagri.net www.scenagri.be



